

COLORADO STATE UNIVERSITY – PROPOSAL SUBRECIPIENT COMMITMENT

Please complete and return with **all required** proposal documents as indicated in the Proposal Documents section

TO BE COMPLETED BY CSU *(please complete before sending to subrecipient):*

CSU Proposal (KR PD) # _____ CSU ('prime') Sponsor _____

CSU Principal Investigator _____

CSU Dept. Proposal Contact *Name/Email:* _____

CSU OSP Contact *Name/Email:* _____

To be completed by proposed subrecipient:

- The **proposal-specific sections** must be completed by **all** subrecipients.
- There is an option after the proposal section to indicate FDP Expanded Clearinghouse participation.

SUBRECIPIENT INSTITUTION

SAM.gov UEI _____

SAM.gov registration expiration date _____

PRINCIPAL INVESTIGATOR

NAME: _____

EMAIL: _____

PERFORMANCE SITE: Street, City, State, **ZIP+4:** _____

CONGRESSIONAL DISTRICT -
PERFORMANCE SITE _____

PROJECT TITLE *(200 character limit)* _____

PROPOSAL ADMINISTRATIVE CONTACT

NAME: _____

EMAIL: _____

SUBAWARD AGREEMENT ADMINISTRATIVE CONTACT

NAME: _____

EMAIL: _____

➤ If different from the contact, provide the email address for subaward documents:

NOTE: Period of performance & budget information may need to be revised upon receipt of an award for this proposal.

PERIOD OF PERFORMANCE

TOTAL SUBRECIPIENT COSTS

Direct Costs \$

to

\$

F&A \$

COST-SHARING

COST-SHARE AMOUNT

Mandatory (sponsor required)

\$

Voluntary

If applicable, cost sharing amounts and justification must be included in the Subrecipient's budget documents.

None

PROPOSAL DOCUMENTS

Required for all proposals:

- Scope/Statement of Work (SoW)
- Budget
- Budget Justification

Provide as indicated by sponsor guidelines or institutional policy:

- Current/Pending (aka Other Support)
- Biosketch(es) (aka CVs)
- Letters of Support
- Cost-share Commitment
- Research Security Training Certification(s) for key personnel
- FFATA-compliant (limit 4000 characters) statement of work
- Other:

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REQUIRED PROPOSAL CERTIFICATIONS – All subrecipients must complete this section

Indirect cost (aka IDC, F&A, overhead) rates included in this proposal have been calculated based on: ‡

Choose only one:

- A Negotiated Indirect Costs Rate Agreement with a U.S. cognizant agency (e.g., ONR, DHHS, etc.).
- Sponsor mandated (restricted) rate. **Provide the rate used:**
- The Uniform Guidance (2 CFR 200.414(f)) *de minimis* indirect costs rate: **15% MTDC**

‡ Sponsor or funding opportunity restrictions on indirect costs may affect the rate used for the proposal.

Fringe Benefit Rates included in this proposal have been calculated based on:

- Rates consistent with (or lower than) our federally negotiated rates.
 - Link to Fringe Rate Agreement:
- Other rates (please specify):

Human Subjects Yes No **If Yes**, all senior/key personnel have completed human subjects training: Yes No

Human Stem Cells Yes No

Human Subjects Data and/or Specimens will be exchanged Yes No
If Yes, choose one: From PTE to Subrecipient From Subrecipient to PTE Both

Animal Subjects Yes No **Animals Euthanized?** Yes No

Dual Use Research Concern (DURC)

Does the subrecipient's scope of work involve Dual Use Research of Concern or Pathogens with Enhanced Pandemic Potential?

- For reference: <https://aspr.hhs.gov/S3/Documents/USG-Policy-for-Oversight-of-DURC-and-PEPP-May2024-508.pdf>

Yes No

NSF Safe and Harassment-free Fieldwork Plan (SHFP)

If the subrecipient's scope of work involves conducting research activities off-campus or off-site, does the subrecipient's Authorized Official certify that the subrecipient organization has a plan in place for this proposal that is compliant with the NSF's Safe and Harassment-free Fieldwork Plan requirements as outlined in the current version of the NSF PAPPG. Yes No

MFTRP (Malign Foreign Talent Recruitment Program)

- All Investigators and Key Personnel have disclosed and certified all projects, activities, co-authorships, affiliations, and other activities, including those performed within and outside of the subrecipient's organization (paid and unpaid), as required by the funding agency and applicable requirements at the time of this proposal and will continue to do so through the life of any resultant award. Furthermore, Investigators and Key Personnel have certified that they are not involved in a "malign foreign talent recruitment program" as defined in Section 10638 of Public Law 117-167 (CHIPS and Science Act).

RESEARCH SECURITY – project certifications

- Institution has an internal training program or uses a third-party program (e.g., CITI), that can generate a certification or confirmation of training upon request to meet sponsor requirements for this proposal or subsequent award.
- Institution does not have a system that can generate a certification or confirmation of training upon request. Certification(s) of completed covered personnel training are attached (e.g. NSF SECURE site).

For Federal and Federal flow-through contract proposals:

Will there be technical data or computer software that the subcontract will furnish with restrictions? Yes No

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"For Profit" subrecipients:

- Federal funding source is Grant/cooperative agreement:** We certify that no profit is included in the request.
- Federal Contract** – profit restriction does not apply

Are the activities described in the Statement of Work

- 'normal course of business'
- specifically designed for this request

DOES YOUR INSTITUTION PARTICIPATE IN THE FDP EXPANDED CLEARINGHOUSE?

- YES** – Skip the institutional certifications section – Go to Page 4 to sign, then return document to CSU
- NO** – Complete all institutional certifications below, then sign Page 4 and return document to CSU

REQUIRED INSTITUTIONAL CERTIFICATIONS

This section is required for subrecipients that do not participate in the FDP Expanded Clearinghouse

AUDIT: Is Subrecipient subject to Uniform Guidance 2 CFR 200.331 Subpart F-- Audit Requirements?

- YES:** Provide the most recent fiscal year audit completed:
- NO:** If this proposal is funded, CSU requires Subrecipient to complete a financial status questionnaire as well as a limited scope audit before a subaward will be issued.

EIN (TIN):

ENTITY TYPE:

INSTITUTIONAL ADDRESS WITH **ZIP+4:**

CONGRESSIONAL DISTRICT -
INSTITUTION

Federally Negotiated Indirect Costs Rate Agreement (NICRA) (aka, F&A Rate Agreement):

URL:

Provide copy of document if not available via website link.

If subrecipient will be working with Human and/or Animal Subjects, provide institutional assurance information:

Human Subjects FWA:

Animal Subjects Assurance # :

COI/COC: (including Malign Foreign Talent Recruitment Program)

- Subrecipient organization certifies that it has an active and enforced conflict of interest policy that is consistent with policy requirements of the prime sponsor.
- Subrecipient does not currently have a compliant policy and will be asked to provide confirmation of their policy before CSU will sign a subaward agreement.
- Investigators and Key Personnel are required to certify that they are not involved in a "malign foreign talent recruitment program" as defined in Section 10638 of Public Law 117-167 (CHIPS and Science Act).

RESEARCH SECURITY PROGRAM

In accordance with federal requirements, an entity receiving more than \$50M / year in US federal support must have a Research Security Program.

- Subrecipient institution has a compliant research security program.
- Subrecipient institution does not yet have a program, but will provide confirmation of a program before CSU signs a subaward agreement.
- Subrecipient does not meet the threshold for sponsor requirements.

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TRAINING REQUIREMENTS

NIH OTHER SUPPORT

- Institution certifies compliance with NIH NOT-OD-25-133: Training for Senior/Key Personnel on Other Support Disclosure Requirements

RESPONSIBLE CONDUCT OF RESEARCH

Institution certifies that a Responsible & Ethical Conduct of Research (RCR/RECR) Training Plan is in place consistent with sponsor requirements. Yes No

SIGNATURE – Authorized Institutional Official

In signing below and offering to participate in this sponsored project, the **Subrecipient Institution** certifies the following:

- Neither they nor their principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from receiving funds from any federal department or agency and are not delinquent on any federal debt.
- This proposal has been reviewed and approved by the appropriate official(s) of Subrecipient and certified to its accuracy and completeness.
- The appropriate programmatic and administrative personnel of Subrecipient involved in this application are aware of the prime awarding agency's policies, agree to accept the obligation to comply with award terms, conditions, and certifications, and is prepared to establish the necessary inter-institutional agreement consistent with that policy.
- Any terms or rates included in the proposal described herein are not binding upon the Pass-Through Entity.
- All terms and conditions between the parties will be outlined in a separate formal Agreement.

Authorized Representative

(a person authorized to submit proposals on behalf of your organization)

Printed Name and Title:

Phone #:

Signature

Date

I certify to the best of my knowledge and belief that the information provided herein is true, complete, and accurate. I am aware that the provision of false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil, or administrative consequences including, but not limited to violations of U.S. Code Title 18, Sections 2, 1001, 1343 and Title 31, Sections 3729-3730 and 3801-3812.