


Participant Support or Incentive Payments

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Presenters



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Participant Support vs. Incentives

Description: This session will cover the differences between Participant Support costs and Participation Incentives, including definitions, allowable and unallowable costs, and post-award financial considerations.

Level: Basic

Objectives:

- Distinguish between participant support and participation incentive costs
- Recognize allowable and unallowable costs for both support and incentives
- Discuss financial and other considerations for both support and incentives




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Participant Support vs. Incentives

Participant Support	Participation Incentives
▪ _____	▪ _____
▪ _____	▪ _____
▪ _____	▪ _____
▪ _____	▪ _____
▪ _____	▪ _____

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Students are participating in a research study on the benefits of aerobic exercise. They are paid \$100 to exercise during the semester.

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Participant Support Costs 2 CFR § 200.75	Direct costs for items such as stipends or subsistence allowances, travel allowances, and registration fees paid to or on behalf of participants or trainees (but not employees) in connection with conferences or training projects.
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Participant Support Cost Categories

F. Participant Support Costs

- 1. Stipends
- 2. Travel
- 3. Subsistence
- 4. Other



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Stipends & Subsistence

NSF

To help defray the costs of participating in a conference or training activity, funds may be proposed for payment of stipends, per diem or subsistence allowances, based on the type and duration of the activity.

Such allowances must be reasonable, in conformance with the policy of the proposing organization and limited to the days of attendance at the conference plus the actual travel time required to reach the conference location.

Where meals or lodgings are furnished without charge or at a nominal cost, the per diem or subsistence allowance should be correspondingly reduced.

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Travel Allowances

NSF


Travel costs of participants may be allowable as outlined in the pertinent program solicitation and in the grant. If so, restrictions regarding class of accommodations and use of U.S. Flag air carriers are applicable.

In training activities that involve field trips, costs of transportation of participants are allowable.

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NOT Participant Support


- _____
- _____
- _____
- _____
- _____



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NOT Participant Support

- Employees on same award
- Speaker honoraria
- Collaborator fees
- Fees for project organizers/facilitators
- Human subject incentive payments
- Meals/materials for non-participants
- Rental fees, catering, general supplies



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Participant Support in KR

Category Type: Participant Support

Category: Select

Unit Code: select

Base Cost: select

Quantity: Participant Other - MTDC exempt

Description: Participant Stipends - MTDC exempt

Participant Subsistence - MTDC exempt

Participant Travel - MTDC exempt

Participant Tuition/Fees/Health Ins. - MTDC exempt

Tuition Other Trainee type

Tuition PostDoc Trainee Degree Seeking

Tuition PostDoc Trainee Not Degree Seeking

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Participation Incentives

Payments to individuals to motivate (aka incentivize) them to take advantage of grant-supported services within the scope of the approved project.

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Participation Incentive Examples

- Monetary compensation to participate in a study
- Gift certificates
- Raffles/lotteries?
- Memorabilia?
- Petty cash (e.g., to encourage rural, foreign interviews)
- Others?

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Participation Incentives

Participation Incentives

- _____
- _____
- _____
- _____
- _____

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Participation Incentives in KR

Assigned Non-Personnel

Category Type: Other Direct

Category: Select

Code: Participation costs - F&A applies

Base Cost: Facility/Land Rent or Use Fee

Quantity: GRA Tuition - MTDC exempt

Option: GSA-Industrial Funding Fee

Internet Access/Modem Fee - add'l justification req'd

Long Distance telephone charges

Materials and Supplies

Networking Charges

Non-CSU (3rd party) Cost-Share

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Participant Support vs. Incentives

Participant Support	Participation Incentives
<ul style="list-style-type: none"> Benefits the participant Only non-employees No F&A incurred Sponsor approval required Directly allocable 	<ul style="list-style-type: none"> Encourages participation/attendance Employees & non-employees Incurs F&A Certain incentives (e.g., memorabilia may be unallowable) Directly & indirectly allocable

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Financial Considerations

Participant Support	Participation Incentives
<ul style="list-style-type: none"> Payments are approved in the award Payments are made in accordance with institutional procedures Payments are taxable to the recipient Must be accounted for separately 	<ul style="list-style-type: none"> Payments are included in award & IRB protocol Payments are made in accordance with institutional procedures Payments may be taxable to the recipient

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Participant Support vs. Incentives

Decision Points

- Is the person an employee?
- Is the person receiving fringe benefits?
- Is the person responsible for deliverables?
- Is the person working in a lab?
- Is the person participating in a research project by being part of a survey or taking part in the project?
- Is there an associated IRB protocol?
- Is the person attending a conference or training project?
- Is the person part of an NSF Research Experience for Undergraduates (REU)?
- Can funds be re-budgeted without prior approval?

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Questions?



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References

NCURA YouTube 'What Costs are Not Participant Support Costs?'
https://www.youtube.com/watch?v=YFo0_BwwM0E

NCURA YouTube 'Who are Participants and What are Allowable Participant Support Costs?'
<https://www.youtube.com/watch?v=9bNYzcNNM0c>

NSF PAPPG (2021)
https://www.nsf.gov/pubs/policydocs/pappg22_1/nsf22_1.pdf

NSF Proposal & Award Policy Newsletter (May 2017)
https://www.nsf.gov/bfa/dias/policy/newsletter/may_2017.pdf

The University of Texas at Dallas Research Assistant vs Participant Costs vs Subject Payments
<https://wiki.utdallas.edu/display/PAM/Research+Assistant+vs+Participant+Cost+vs+Subject+Payments>

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Thank you for Attending!

- Next Session options: 3:30-4:30 PM MT
- Working with Industry: Information for Preparing Proposals and Talking with Industry Sponsors
 - Roadmap to Fellowships
 - USDA: Getting into the Weeds and Effective Weed Control

Please remember to do the 1-Minute Feedback survey in your email!



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