

1



2



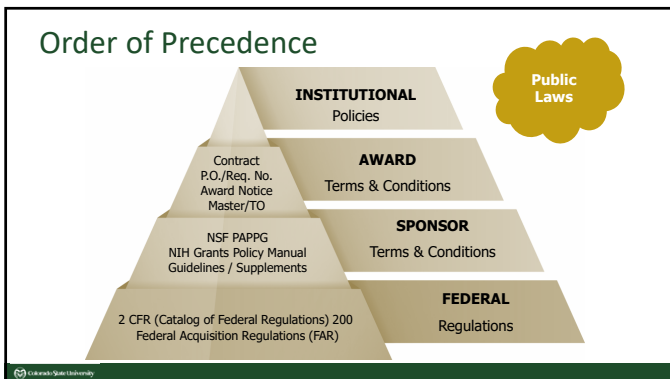
3



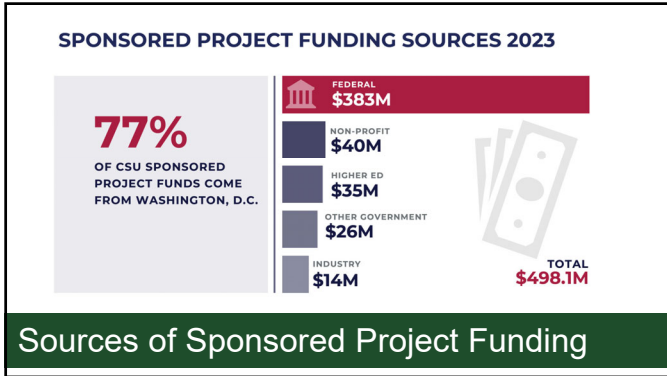
4



5



6



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ASSISTANCE


GRANT

- Funding is being provided to the recipient to carry out research or another activity for the public good
- No substantial involvement by the sponsor is anticipated between the government and the recipient
- Project idea usually originated with the applicant

COOPERATIVE AGREEMENT

- Project idea may or may not originate with the applicant
- Substantial involvement between the government agency and the recipient is expected throughout the activity

Most have Assistance Listing (fka: CFDA) numbers!



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Code of Federal Regulations
A point in time eCFR system

ecfr.gov

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Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (UG)

- Subpart A: Acronyms and definitions
- Subpart B: General provisions
- Subpart C: Pre-Award requirements
- Subpart D: Post-Award requirements
- Subpart E: Cost Principles
- Subpart F: Audit requirements
- Appendices

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Where in the UG?

- A: Acronyms and definitions
- B: General provisions
- C: Pre-Award requirements
- D: Post-Award requirements
- E: Cost Principles
- F: Audit requirements
- Appendices

1. If advertising is an allowable cost?
2. How often a program must undergo an audit?
3. The definition of participant support costs?
4. What must be included in an FOA?
5. Examples of Participant support costs?

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PROCUREMENT

CONTRACT

- The principal purpose of the agreement is to acquire property or services for the direct benefit of the federal agency
- Extensive involvement between the agency and the recipient is expected throughout the activity
- Procurement mechanism
- No Assistance Listing Number!

FAR

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Federal Acquisition Regulations (FAR)

FAR PARTS

Index

List of Sections Affected

DDO Deviations

CAAC Deviations

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48
49	50	51	52	53			

Chapter 99 (CAS)

Part 1-51: Guidelines for Use by Federal Contracting Officers

Part 52: Solicitation Provisions & Contract Clauses

The first line of each clause is a cross-reference to the specific instruction that governs when the clause is to be used in a contract "As prescribed in XXX, insert the following provision:

Part 53: Forms

Parts 200+: Agency Specific Supplements

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Regulations & Supplements

Regulations

Regulations & Supplements

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Beyond Acquisitions

WHEN TO CARE	BEWARE OF TERMS	NOTE OF MENTION
<ul style="list-style-type: none"> ▪ FARs are Pre-Award too (Part 15) ▪ Solicitation Provision vs. Contract Clause ▪ Types <ul style="list-style-type: none"> <input type="checkbox"/> Sole Source <input type="checkbox"/> Competitive 	<ul style="list-style-type: none"> ▪ Cost vs Price ▪ Subcontracting vs Vendor is typically the story of Cost vs Price. <ul style="list-style-type: none"> ▪ Cost Allocation and IDC vs Pricing ▪ Commercial Sections ▪ Different allowability in how you treat and bill for Costs vs Price 	<ul style="list-style-type: none"> ▪ FAR Clauses are non-negotiable. ▪ FAR is divided into subparts. ▪ All Federal Acquisitions are subject to FARs. <ul style="list-style-type: none"> ▪ But FARs can show up in any 'transfer of anything of value'. 35.003

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Check point

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Brainstorm


- 7 minutes
- Use Audio or chat to communicate
- Video is not mandatory **but** helps collaboration
- If you are unable to participate, return to the main room or sit tight (it's only 7 minutes)
- Reporter: Person with next birthday

*Rooms 1 - 3: Think of a situation where an item **might be allowable** according to UG/FAR, but not allowable under the program/award terms.*

*Rooms 4 - 6: Think of a situation where an item **might not be allowable** according to UG/FAR, but allowable under the program/award terms.*



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Brainstorm

*Rooms 1 - 3: Think of a situation where an item **might be allowable** according to UG/FAR, but not allowable under the program/award terms.*

*Rooms 4 - 6: Think of a situation where an item **might not be allowable** according to UG/FAR, but allowable under the program/award terms.*

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1 of 5: Uniform Guidance

2 CFR 200.458 Pre-award costs

Pre-award costs are those incurred prior to the effective date of the Federal award directly pursuant to the negotiation and in anticipation of the Federal award where such costs are necessary for efficient and timely performance of the scope of work. Such costs are allowable only to the extent that they would have been allowable if incurred after the date of the Federal award and only with the written approval of the Federal awarding agency.

Result: _____

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2 of 5: NSF Prior Approval Matrix

Research Terms and Conditions Appendix A
Prior Approval Matrix
November 12, 2020

	Reference	ITC Overlay	NSF	DOE	
Prior Written Approval (prior approval)	200.487				
Use of grant agreements (including final approved amended, supplementary agreements, and contracts)	200.488				
Expenses as provided (available) for grant under project period, or budget of effort	200.301(b)(3)	Required	Required	Required	Yes
Cost sharing or matching	200.487(b)	Allowed	Allowed	Allowed	Yes
Use of non-Federal indirect costs, including indirect costs for cost sharing or matching	200.306(c)	Allowed	Allowed	Allowed	Yes
Use of current fair market value to determine the value of non-Federal entity contributions of services and locations of projects for the purposes of cost sharing or matching	200.306(b)(2)	Allowed	Allowed	Allowed	Yes
Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the Federal award supports activities that require use of equipment, buildings, or land	200.306(b)(2)	Required	Required	Required	Yes
Program income	200.487(d)	Allowed	Allowed	Allowed	Yes
Level of program income during the period of performance (additive method)	200.307(b)(2)	Allowed	Allowed	Allowed	Yes
Revisions of budget and program plans	200.487(f)				
Change in the scope or the objective of the project or program	200.308(b)(1)	Required	Required	Required	Yes
Change in a key person specified in the application of Federal award	200.308(b)(2)	Required	Required	Required	Yes
Management fees for project for more than three months, and 50 percent reduction in line devoted to the project by the approved Project Director (PD) or PI	200.308(b)(3)	Required	Required	Required	Yes
Relocation, unless waived, of costs that require prior approval in accordance with Subpart E - Cost Principles	200.308(b)(4)	Required	Required	Required	Yes
Transfer of funds budgeted for particular support costs to other categories of expense	200.308(b)(5)	Required	Required	Required	Yes
Subawarding, transferring or contracting out of any work under a Federal award, including fixed amount subawards as described in 200.303. This provision does not apply to the acquisition of supplies, material, equipment or general support services	200.308(b)(6)	Required	Required	Required	Yes
Changes in the approved cost sharing or matching provided by the non-Federal entity	200.308(b)(7)	Required	Required	Required	Yes
Need for additional Federal funds to complete the project	200.308(b)(8)	Required	Required	Required	Yes
Final project costs for contract that require prior approval of the awarding agency under the Federal award	200.308(b)(9)	Required	Required	Required	Yes
Final project costs more than 90 calendar days pre award	200.308(b)(10)	Required	Required	Required	Yes
Final project costs more than 90 calendar days pre award	200.308(b)(11)	Required	Required	Required	Yes
Final project costs more than 90 calendar days pre award	200.308(b)(12)	Required	Required	Required	Yes
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Final project costs more than 90 calendar days pre award	200.308(b)(99)	Required	Required	Required	Yes
Final project costs more than 90 calendar days pre award	200.308(b)(100)	Required	Required	Required	Yes

Result: _____

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Office Hours



Join us on Fridays in February for Office Hours:

- Friday, February 9th; 10:00 - 11:00 am
- Friday, February 16th; 10:00 - 11:00 am
- Friday, February 23rd; 10:00 - 11:00 am



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Questions?

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Resources

- Code of Federal Regulations**
<https://www.ecfr.gov/>
- Federal Acquisition Regulations**
<https://www.acquisition.gov/browse/index/far>
- CSU Policy Library**
<http://policylibrary.colostate.edu/policy-browse.aspx>
- Research Analytics | Annual Reports**
https://rsweb.research.colostate.edu/portal/Annual_Reports.aspx
- Office of Sponsored Programs (OSP)**
<https://www.research.colostate.edu/osp/>
- Research Administration at CSU**
<https://www.research.colostate.edu/osp/training/>

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