

# NASA Certification - Chinese Affiliations



**OFFICE OF  
SPONSORED PROGRAMS  
COLORADO STATE UNIVERSITY**

## Instructions

This form is required in order to meet Federal Law (Public Law 112-55). This law restricts NASA from providing funding to participate, collaborate, or coordinate bilaterally in any way with China or any Chinese-owned company, at the prime or sub-recipient level, whether the bilateral involvement is funded or performed under a no-exchange of funds agreement. The university must certify compliance with this restriction for each proposal or award.

NASA defines “China or Chinese-owned Company,” to mean the People’s Republic of China (PRC), any company owned by the PRC, or any company incorporated under the laws of the PRC; this would include entities such as Chinese universities and research institutes. The law is interpreted by NASA to prohibit participation (funded or unfunded) by any individual, regardless of nationality, who is affiliated with the Chinese Government or a Chinese-owned Company. NASA does not consider this a prohibition of Chinese nationals.

- This form must be completed by all Colorado State University project personnel (faculty, staff, students, and visitors) seeking funding from NASA, whether or not the individual will be compensated from NASA funds under the resulting award for this project.
- All completed forms must be provided to Sponsored Programs prior to the submission deadline in order for Sponsored Programs to submit a proposal.
- Proposals submitted without a completed and up-to date form may be withdrawn if the required form is not completed within 30 days of the request.
- If there is a change to the information provided on the form, a new form must be updated immediately and resubmitted to Sponsored Programs. Project participants are responsible for ensuring that the form is accurate and up to date. Any affirmative answers will require a review by Secure and Global Research and the Office of Sponsored Programs. If you have any questions about completion of this form, please contact [vpr\\_export\\_control@mail.colostate.edu](mailto:vpr_export_control@mail.colostate.edu)
- PIs are responsible for ensuring that, prior to adding additional participants to a NASA project, the new participants must provide a completed and up-to-date form to Sponsored Programs.

## Individual Information

Full Name: \_\_\_\_\_

Phone #: \_\_\_\_\_

Email: \_\_\_\_\_

Role on Project: \_\_\_\_\_

Project Title: \_\_\_\_\_

KR PD# or 53# (if update to ongoing award): \_\_\_\_\_

## Affiliation Information

Answer each of the following questions. If Yes, please provide an explanation in the space provided and indicate how you plan to segregate it from your NASA-funded activities.

1) Do you currently receive any funding (salary, grant, contract, etc.) or any other kind of support (volunteers, materials, or assistance from China, a Chinese-owned Company or any company/entity incorporated under the laws of the People's Republic of China?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If Yes, explain:		
2) Do you have any active collaborations or other work-related relationships with individuals who are employed by or acting on behalf of China, a Chinese-owned Company or any company/entity incorporated under the laws of the People's Republic of China?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If Yes, explain:		
3) Do you have any affiliation, appointment, or position (e.g. honorary faculty appointments or participation in a talent program) paid or unpaid, with China, a Chinese-owned Company or any company/entity incorporated under the laws of the People's Republic of China?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If Yes, explain:		
4) Are you currently affiliated with the Chinese Government or Military Unit? <i>Please note if you are currently on a Leave of Absence from the Government or Military.</i>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If Yes, explain:		

## Individual Certification

By submitting this form, I hereby certify that:

1. I understand that NASA restricts funding to participate, collaborate, or coordinate bilaterally in any way with China or any Chinese-owned company/entity;
2. The information provided above is complete and accurate to the best of my knowledge;
3. I will immediately update this form and separately notify the Office of Sponsored Programs in the event that any of my above answers change; and
4. I will disclose all external funding, affiliations, and/or collaborations as described above in all progress reports, technical reports, conflict of interest/commitment disclosures, or any other updates provided to NASA.

Individual Signature: \_\_\_\_\_ Date: \_\_\_\_\_