

REQUEST FOR UNIVERSITY CONTRIBUTION OF FACILITY & ADMINISTRATIVE (INDIRECT) COST RECOVERY (Indirect Costs Waiver)



OFFICE OF SPONSORED PROGRAMS
COLORADO STATE UNIVERSITY

This request should be submitted as soon as you know that a Facility and Administrative Costs (F&A) waiver may be needed for the submission of a sponsored project proposal.

Do **not** submit this request:

- if the sponsor is a non-U.S. government agency or a for-profit enterprise (either US or international):
 - o Indirect cost waivers will not be approved for these sponsors. The university’s administrative costs are funded by taxpayer dollars, and we may not provide better rates for profit-making entities.
- if the sponsor is a US-based non-profit charitable foundation that explicitly limits indirect costs as a matter of foundation policy:
 - o In that case, Colorado State University will normally accept the foundation’s requirements, and you should forward documentation of the Foundation’s policy with your proposal.

▶ Complete Sections 1-3 of this form, including the approvals of the department and school dean’s offices, and attach to the appropriate proposal record in Kualii Research Proposal Development module (Internal Attachments section).

▶ Send an ad hoc notification or email to the OSP Team that handles your proposals, telling them that the form has been uploaded for immediate review.

Section 1. Reference & Impact Information

PI: DEPARTMENT:
 Proposal (KR PD) Number: SPONSOR:

PROJECT/PROPOSAL
 TITLE:

(These fields do not calculate, but are formatted to allow numbers only.)

| | | |
|--|-----------------------------------|----|
| (A) NEGOTIATED (ALLOWED) F&A RATE | IMPACT: | |
| PER SPONSOR/RFP: | % UNIVERSITY (51%*D): | \$ |
| (B) REQUESTED F&A RATE: | % COLLEGE (35.25%*D): | \$ |
| (C) TOTAL DIRECT COSTS (less exclusions) \$ | PROVOST (.75%*D): | \$ |
| | OVPR (6.25%*D): | \$ |
| (D) TOTAL F&A WAIVED = (A-B)*C \$ | SPECIALIZED FACILITIES (6.75%*D): | \$ |

Section 2. RATIONALE (Please provide information on each point).

| | |
|---|--|
| 1) The grounds on which the waiver might be justified to other faculty whose projects carry full overhead: | |
| 2) The likelihood that an award would be seriously jeopardized without a waiver, and the potential effect of the loss on the faculty member’s overall research program: | |
| 3) The benefit of the waiver to the project <u>and to the Institution</u> : | |

Please see PI Manual for specific details regarding criteria. Attach additional page if needed.

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Section 3. Requestor, Approver signatures

REQUESTED BY:

APPROVED BY:

PI:

Dept Head:

Principal Investigator Signature & Date

Department Head Signature & Date

Dean/Director:

Dean/Director Signature & Date

Reimbursement due the University for actual expenditures incurred by sponsored projects is most equitably accomplished through the recovery of F&A from all projects. Voluntary contributions of F&A are contrary to that purpose and are therefore strongly discouraged.

Section 4. Review, Decision, and Comments

REVIEWED BY:

OSP Senior Research Administrator Signature/Date

OSP Comments/Conditions:

ACTION TAKEN BY VICE PRESIDENT FOR RESEARCH:

Comments/Conditions:

Approved

Disapproved

Vice President for Research

Signature/Date